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2675

PTO/SB/21 (09-04)

Approved for use through 7/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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| <b>TRANSMITTAL FORM</b><br>(to be used for all correspondence after initial filing) | Application Number   | <b>09/524,587</b>           |
|   | Filing Date          | <b>March 13, 2000</b>       |
|   | First Named Inventor | <b>Seiji MANABE, et al.</b> |
|   | Art Unit             | <b>2675</b>                 |
|   | Examiner Name        | <b>C. D. Nguyen</b>         |
| Total Number of Pages in This Submission 4 + 2 references                           | Attorney Docket No.  | <b>MAT-7927US</b>           |

| ENCLOSURES (Check all that apply)   |  |  |
|---|--|--|
| <input type="checkbox"/> Fee Transmittal Form<br><input type="checkbox"/> Fee Attached  | <input type="checkbox"/> Drawing(s)  | <input type="checkbox"/> After Allowance Communication to TC   |
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| <input type="checkbox"/> Certified Copy of Priority Document(s)   | <input type="checkbox"/> Terminal Disclaimer   | <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):  |
| <input type="checkbox"/> Response to Missing Parts/Incomplete Application<br><input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Request for Refund  | <input type="checkbox"/> Return postcard;<br><input type="checkbox"/> PTO Form 1449<br><input type="checkbox"/> 2 references |
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| SIGNATURE OF APPLICANT, ATTORNEY OR AGENT |                           |          |               |
|---|---------------------------|----------|---------------|
| Firm Name                                 | <b>RatnerPrestia</b>      |          |               |
| Signature                                 |                           |          |               |
| Printed Name                              | <b>Lawrence E. Ashery</b> |          |               |
| Date                                      | <b>July 26, 2005</b>      | Reg. No. | <b>34,515</b> |

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| Signature   |                      |      |                      |
| Typed or Printed Name   | <b>Fran Petrillo</b> | Date | <b>July 26, 2005</b> |

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Office, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, ALEXANDRIA, VA 22313-1450.**

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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Application No: 09/524,587  
Applicant: Seiji MANABE et al.  
Filed: March 13, 2000  
Title: SURFACE LIGHTING DEVICE AND PORTABLE TERMINAL  
USING THE SAME  
TC/A.U.: 2675  
Examiner: C. D. Nguyen  
Confirmation No.: 6215  
Docket No.: MAT-7927US

**SUPPLEMENTAL**  
**INFORMATION DISCLOSURE STATEMENT**

***Mail Stop Amendment***

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. §§ 1.97 and 1.98 and to the duty of disclosure set forth in 37 C.F.R. § 1.56, the Examiner in charge of the above-identified application is requested to consider and make of record the references listed on the PTO 1449 (RP) submitted herewith. A copy of the references listed on the 1449 form is enclosed.

Although the information submitted herewith may be "material" to the Examiner's consideration of the subject application, this submission is not intended to constitute an admission that such information is "prior art" as to the claimed invention.

In accordance with 37 C.F.R. § 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

The following Japanese references are not in the English language:

- 1) 3016945
- 2) 58-138903

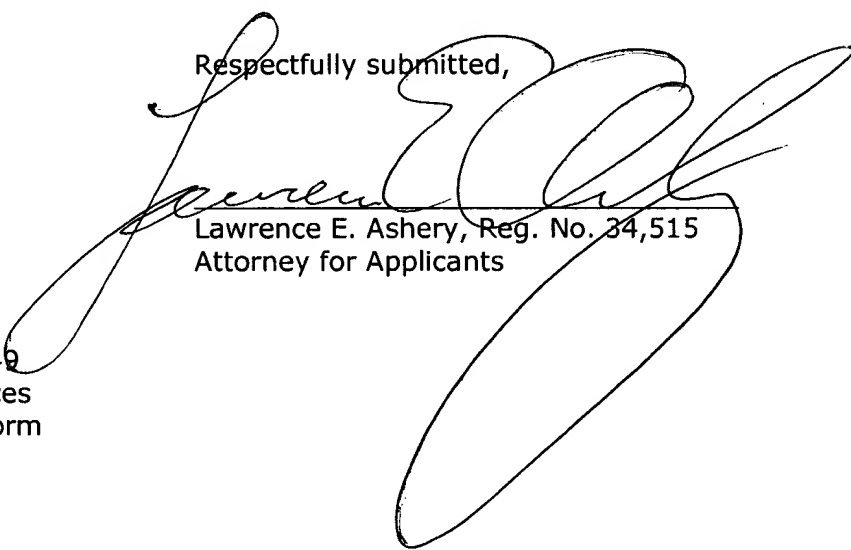
References 1) and 2) have a partial English language translation attached.

More than three months have elapsed since the filing of the above-referenced application and a first (non-Final) Official Action has been received. No Final Action or Notice of Allowance has yet been received and it is presumed that none has yet been mailed. Accordingly, as more specifically indicated below, a statement as required by 37 C.F.R. § 1.97(c) is provided herewith.

**STATEMENT**

The undersigned hereby states that each item of information disclosed in the Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Information Disclosure Statement.

Respectfully submitted,

  
Lawrence E. Ashery, Reg. No. 34,515  
Attorney for Applicants

LEA/fp

Enclosures: PTO Form 1449  
(#2) References  
Transmittal Form

Dated: July 26, 2005

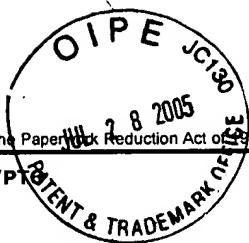
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The Commissioner for Patents is hereby authorized to charge payment to Deposit Account No. **18-0350** of any fees associated with this communication.

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Substitute for Form 1449/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

SHEET 1 of 1

### Complete if Known

|                      |                     |
|----------------------|---------------------|
| Application Number   | 09/524,587          |
| Filing Date          | March 13, 2000      |
| First Named Inventor | Seiji Manabe et al. |
| Art Unit             | 2675                |
| Examiner Name        | C. D. Nguyen        |
| Attorney Docket No.  | MAT-7927US          |

### U.S. PATENT DOCUMENTS

| Examiner<br>Initials* | Cite<br>No. <sup>1</sup> | Document Number<br>Number - Kind Code <sup>2</sup> (if known) | Publication Date<br>(MM-DD-YYYY) | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines, Where<br>Relevant Passages or Relevant<br>Figures Appear |
|-----------------------|--------------------------|---|----------------------------------|--|---|
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### FOREIGN PATENT DOCUMENTS

| Examiner<br>Initials* | Cite<br>No. <sup>1</sup> | Foreign Patent Document<br>Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (if known) | Publication Date<br>(MM-DD-YYYY) | Name of Patentee or<br>Applicant of Cited<br>Document | Pages, Columns,<br>Lines, Where Relevant<br>Passages or Relevant<br>Figures Appear | T <sup>6</sup>           |
|-----------------------|--------------------------|--|----------------------------------|---|--|--------------------------|
|                       |                          | JP 3016945 (with partial English<br>translation)   | 08/02/95                         | AICOM CO., LTD.                                       |  | <input type="checkbox"/> |
|                       |                          | JP 58-138903 (with partial English<br>translation)   | 09/19/83                         | MITSUBISHI<br>ELECTRIC CO LTD                         |  | <input type="checkbox"/> |
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Examiner  
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Date  
Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

<sup>1</sup>Applicant's unique citation designation number (optional).

<sup>2</sup>See Kind Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04.

<sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard St.3).

<sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

<sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible.

<sup>6</sup>Applicant is to place a check mark here if English language translation is attached.

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